



All banking regulations in a single click



EIFR 29 juin 2017





Time consuming

Costly reliance on 3rd parties

Operational & legal risks

Accessing Europe's financial regulation is challenging

- A multitude of regulations: European, national, local regulators ...
- A diverse range of sources that lack connections between them
- Varied contents: file format, languages, type of access, soft law, official texts and commented are all mixed
- Regulation is in constant revision and finding all versions including the latest is difficult





Interviews with a wide range of partners and senior managers

Banks and Asset Managers

Law firms

Regulators

Most users want more help and service*

- Need of access to the original texts
- Exhaustive texts data base
- Efficient and thorough regulatory monitoring with alerts
- More than 60% want access to published texts ahead of their application
- More than 50% expect to save at least 20% of their time by using a tool that would do all this

* As identified by the Survey conducted in April- May 2016 by JE ESSEC



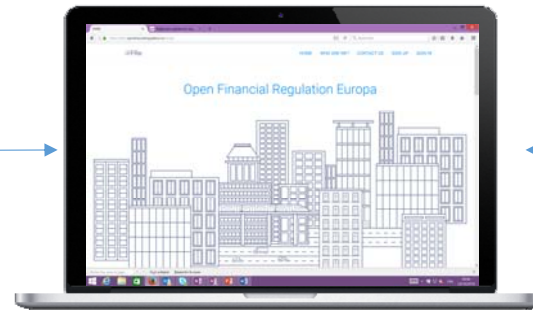


A single access to all European Financial Regulation

A couple of clicks to easily access a range of services that facilitates and speeds up the user's searches:



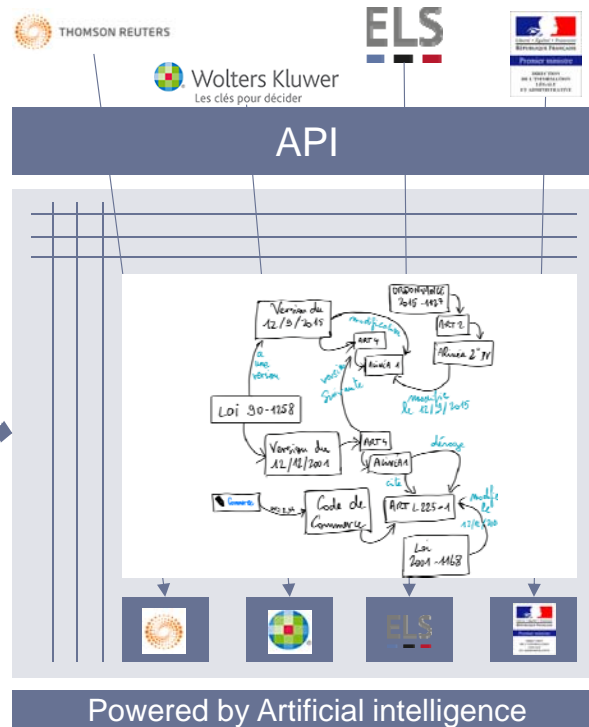
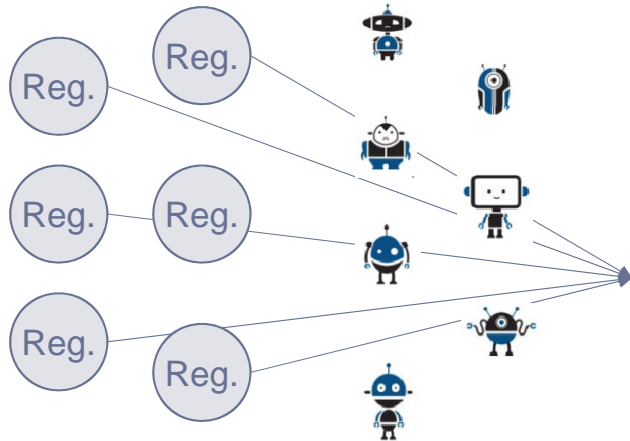
AMF
BaFin
EUR-Lex
ESMA
Légifrance



FCA
EBA
AMAFI
And many others...



Based on an advanced technology



Result of requests

- Data, not links
- Classification of results by hierarchy
- Natural language and legal concepts

1 Collect

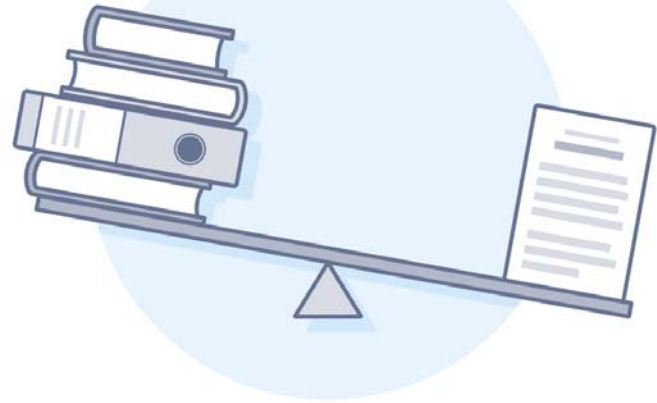
Scraping, consolidation, recovery: algorithms distinguish stocks & flows, enabling labelling of data and scaling of information

2 Storage versionned

- LegiGraph: normalisation & slicing of data
- Structured storage
- Production of links (texts, case-laws)
- Automatic generation of legal or technical concepts and notions

3 Research

LegiRank, our research engine recognizes the legal language Based on SoIR and enriched by proprietary developments dedicated to laws and regulations



A scientific committee to guide our approach

- Definition of the scope of banking and finance regulations
- Validation of terminology and taxonomy associated with texts
- Identification of the concepts behind banking and financial regulations and the links between them
- Suggest necessary developments of the platform in terms of texts and taxonomy





Many features



All national & EU
texts



Bookmarks



Personal cases



Daily Dashboard



Automatic Watch



Navigation history



Create notes



Annotation



Reading mode



Registered search



Concepts suggestion
We provide key concepts dedicated to regulation

Instant search results
Search engine adapt instantly the results base on what user type

The screenshot shows the FRe search interface. At the top, there is a search bar with the letter 'm' and a search icon. To the right of the search bar are 'Notifications' and a user profile icon labeled 'demo@ofre.fr' with a language dropdown set to 'FR'. Below the search bar, a list of suggested concepts is displayed: 'Abus de marché', 'Manipulation de cours', 'Monopole bancaire', 'Matière première', and 'Autorité européenne des marchés financiers (aemf/esma)'. Below this, the search results are shown: '913 954 Résultats (0,006 s)' with a 'Pertinence' sorting option and 'Page 1 / 45 698'. The results list includes:

- Cour de cassation, civile, Chambre civile 1, 16 mai 2013, 12-21.338, Publié au bulletin** (with an eye icon): A detailed text snippet about a medical case involving a gynecologist-obstetrician.
- Jurisprudence judiciaire, 16 juin 2013**
- Liste d'aptitude au grade de ministre plénipotentiaire de seconde classe au titre de l'année 1992 – version JO** (with an eye icon): A list of names including M. Lévitte, M. Dufour, M. Melot, M. Schricke, M. Schluty, M. Champenois, M. de Belenet, M. Fieschi, M. Grasset, M. Nougarede, M. Rohou, M. Villemur, M. Reynard, M. Narich, M. Rummelhardt, and M. Pavec.
- Lois et règlements, 29 mars 1992**
- Arrêté du 22 novembre 1978 NOMINATION DE MEMBRES : M. LAVEISSIERE & M. TOUBIN EN REMPLACEMENT DE M. AMIEL & M. GROJEAN M. OUVRARD EST NOMME EN QUALITE DE MEMBRE SUPPLEANT DE M. LAVEISSIERE, M. MONJOIN EN REMPLACEMENT DE M. CLAUDON – version JO** (with an eye icon): A list of names including M. Laveissiere, M. Toubin, M. Amiel, M. Grojean, M. Ouvrard, M. Claudon, and M. Monjoin.
- Lois et règlements, 22 décembre 1978**

 On the right side, there is a 'Filtres' section with a list of checkboxes:

- Codes (283)
- Lois et règlements (82 669)
- Jurisprudence administrative (367 985)
- Jurisprudence judiciaire (403 843)
- Autorité des marchés financiers (4)
- Législation et réglementation UE (21 627)
- Jurisprudence UE (29 296)
- Jurisprudence AELE (240)

 Below the filters is a link: 'Réinitialiser les facettes'. On the left side, there is a sidebar menu with options: 'Corpus', 'Tableau de bord', 'Mes recherches', 'Favoris intelligents', 'Annotations', 'Notes', 'Historique', 'Sessions', and 'MES DOSSIERS' (with a plus icon). Under 'MES DOSSIERS', there are two folders: 'MiFid' and 'Rémeré'.

Filters
User can filter the results with several criteria

Personal folder with watch
User can create its own folder with searches or documents and we will update automatically the results.

For a chosen issue, we provide the best results classified by hierarchy of law : Directives > national regulation > sanctions...

Ranking

We score all texts to be able to provide first the more accurate texts for an issue



The screenshot displays the FRe search interface. The top navigation bar includes the FRe logo, a search bar with the text 'Rechercher', and user information 'demo@ofre.fr' and 'FR'. The left sidebar contains navigation options: Corpus, Tableau de bord, Mes recherches, Favoris intelligents, Annotations, Notes, Historique, and Sessions. Below these are 'MES DOSSIERS' with sub-items 'MiFid' and 'Réméré'. The main content area shows search results for 'Sondage de marché' with 17 results in 0.121 seconds. The results are sorted by 'Pertinence' and displayed in a hierarchical view. The first category is 'Législation et réglementation (7)', followed by 'Traités internationaux (2)', and 'Législation (3)'. The top result is a regulation from the European Union: 'Règlement (UE) n° 596/2014 du Parlement européen et du Conseil du 16 avril 2014 sur les abus de marché (règlement relatif aux abus de marché) et abrogeant la directive 2003/6/CE du Parlement européen et du Conseil et les directives 2003/124/CE, 2003/125/CE et 2004/72/CE de la Commission Texte présentant de l'intérêt pour l'EEE'. Other results include 'Directive 2014/57/UE du Parlement européen et du Conseil du 16 avril 2014 relative aux sanctions pénales applicables aux abus de marché (directive relative aux abus de marché)' and two articles from the 'Code monétaire et financier' (L621-14 and L465-1). A right-hand sidebar contains filters for 'Codes (3)', 'Lois et règlements (1)', 'Jurisprudence administrative (3)', and 'Législation et réglementation UE (2)', with a 'Réinitialiser les facettes' link.

We display all versions of a document and provide automatically the comparison between new and previous text



Versions Comparison ×

Règlement général de l'Autorité des Marchés Financiers Version du 11 mai 2017

LIVRE III - PRESTATAIRES
Titre II - Autres prestataires
Chapitre V - Conseillers en investissements financiers
Section 2 - Règles de bonne conduite

●
(modification)

Article 325-5

Toutes les informations, y compris à caractère promotionnel, adressées par un conseiller en investissements financiers, présentent un caractère exact, clair et non trompeur.

Article 325-5
Version du 11 mai 2017

Toutes les informations, y compris à caractère promotionnel, adressées par un conseiller en investissements financiers, présentent un caractère exact, clair et non trompeur.

Le contenu des informations doit être conforme aux articles 314-10 à 314-17.

Don't waste time to compare

Regulator has provide a new version of a text? It's no longer a big deal for you! With our auto compare solution, you see immediately what has change and only that.